PTO/SB/21 (09-04)

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	•		Application Number	10/549,820	
T	RANSMITT.	AL	Filing Date	September 20, 2005	
	<b>FORM</b>		First Named Inventor	Norbert HESKE	
(to be us	(to be used for all correspondence after initial filing)		Art Unit	Not Yet Assigned	
			Examiner Name	Not Yet Assigned	
Total Numbe	r of Pages in This Submis	sion 7	Attorney Docket Numb	297912005600	
	EN	ICLOSURES	(Check all that app	oly)	
Fee Transi	nittal Form	Drawing(s)		After Allowance Communication to TC	
Fee	Attached	Licensing-rel	ated Papers	Appeal Communication to Board of Appeals and Interferences	
Amendme	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After	Final	Petition to Co		Proprietary Information	
Affida	avits/declaration(s)		mey, Revocation rrespondence Address	Status Letter	
Extension	of Time Request	Terminal Dis	claimer	X Other Enclosure(s) (please Identify below):	
Express At	pandonment Request	Request for	Refund	PTO/SB/08a/b - 3 pages Copies of 15 foreign references	
x Information 3 pages	n Disclosure Statement –	CD, Number	of CD(s)	Return Receipt Postcard	
Certified C	opy of Priority	Landso	cape Table on CD		
	issing Parts/	Remarks			
	Application	rtemano			
	y to Missing Parts under FR 1.52 or 1.53				
Firm Name	<u> </u>		ANT, ATTORNEY, OF	KAGENI	
Signature	MORRISON & FOE	RSTER LLP, CL			
Printed name	tell	ALA			
Date	Todd W. Wight	<u>U</u>	Reg. No.		
	October 7, 2005			45,218	
				h sufficient postage as First Class Mail, in exandria, VA 22313-1450, on the date	
shown below.		2	alenis, r.O. BUX 1430, AR	·	
Dated: October	7, 2005	Signature:		(Barbara Hayashi)	

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 7, 2005

Signature: 4/V V (Barbara Hayashi)

Patent Docket No. 297912005600

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Norbert HESKE et al.

Serial No.: 10/549,820

Filing Date: September 20, 2005

For: COAXIAL CANNULA PROVIDED WITH A SEALING ELEMENT

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign documents are also submitted herewith. The Examiner is requested to make these documents of record.

With the application; accordingly, no fee or separate requirements are required. Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided. X Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided. П After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A fee is required. A check in the amount of is enclosed. A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate. A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due. After mailing of a final Office Action or Notice of Allowance, but before payment of the П issue fee. П A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of is enclosed. A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal

This Information Disclosure Statement is submitted:

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

form (PTO/SB/17 is attached to this submission in duplicate.)

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 297912005600.

Dated: October 7, 2005

Respectfully submitted,

Todd W. Wight

Registration No.: 45,218 MORRISON & FOERSTER LLP 19900 MacArthur Boulevard Irvine, California 92612-2445 (949) 251-7189

Sub	estitute for form 1449/PTO			Complete if Known		
				Application Number	10/549,820	
IN	<b>NFORMATION</b>	l Di	SCLOSURE	Filing Date	September 20, 2005	
S	TATEMENT E	3Y A	APPLICANT	First Named Inventor	Norbert HESKE	
				Art Unit	Not Yet Assigned	
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Sheet	Sheet 1 of 1		Attorney Docket Number	297912005600		

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-2001/0007925	07/12/01	Ritchart et al.			
	2		08-02-2001	Viola et al.			
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	3	US-2001/0012919 A1	11/29/01	Privitera et al.			
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Sut	Substitute for form 1449/PTO			Complete if Known		
				Application Number	10/549,820	
11	NFORMATION	I DI	SCLOSURE	Filing Date	September 20, 2005	
S	STATEMENT BY APPLICANT			First Named Inventor	Norbert HESKE	
				Art Unit	Not Yet Assigned	
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	2	of	1	Attorney Docket Number	297912005600	

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Examiner	Date
Signature	Considered

Sub	stitute for form 1449/PTO			Complete if Known		
				Application Number	10/549,820	
II.	<b>IFORMATION</b>	I DI	SCLOSURE	Filing Date	September 20, 2005	
STATEMENT BY APPLICANT				First Named Inventor	Norbert HESKE	
				Art Unit	Not Yet Assigned	
(Use as many sheets as necess ary)			necess ary)	Examiner Name	Not Yet Assigned	
Sheet 3		of	1	Attomey Docket Number	297912005600	

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F	0.11-	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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